

CUSTOMER NO.: 24498  
Serial No. 09/649,975

08-08-07

PATENT  
RCA88,391A

2615  
Ifu

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Harold Blatter et al.  
Serial No. : 09/649,975  
Filed : August 29, 2000  
For : UPGRADABLE ON-SCREEN DISPLAY SYSTEM  
  
Examiner : H. Thanh Nguyen  
Art Unit : 2615

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]  
  
☐ 2 Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
  
☐ 3 Pursuant to 37 CFR 1.97(d)  
[after Final Office Action or Allowance, but prior to payment of issue fee]

Mail Stop: AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 4 A list of documents on form PTO/SB08a and/or PTO/SB08b together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 5 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]  
  
☐ 6 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and  
[ ] (a) The required certification made in item 8(a) below; **OR**  
[ ] (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.  
  
☐ 7 37 CFR 1.97(d): [after Final Office Action or Allowance, but prior to payment of issue fee]; and  
[ ] (a) The required certification made in item 8(a) below; **AND**  
[ ] (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.

08/09/2007 SSESHE1 00000002 070832 09649975

01 FC:1806 180.00 DA

☐ 8 Certification

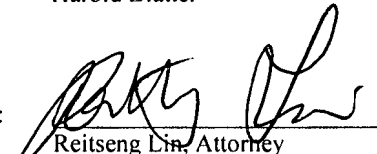
- ☐ (a) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ (b) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

- ☒ 9 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully submitted,

Harold Blatter

BY:

  
Reitseng Lin, Attorney  
Registration No. 42,804  
(609) 734-6813

Thomson Licensing LLC  
Patent Operations  
P. O. Box 5312  
Princeton, New Jersey 08543-5312

Date 8/7/07

---

**Certificate Of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in a postage-paid envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Date:

8/7/07

Signature

  
Eric L. Coccia



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

**Complete if Known**

Application Number	09/649,975
Filing Date	8/29/2000
First Named Inventor	Harold Blatter
Art Unit	2615
Examiner Name	H. Hguyen
Attorney Docket Number	RCA88391A1

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-6,034,738	03-07-2000	Sparks	
		US- 4,460,918	07-17-1984	Flasza	
		US- 5,386,247	01-31-1995	Shafer et al.	
		US- 4,405,946	09-20-1983	Knight	
		US- 4,914,523	04-03-1990	Marunta	
		US- 5,377,051	12-27-1994	Lane et al.	
		US- 5,428,400	06-27-1995	Landis et al.	
		US- 5,515,173	05-7-1996	Mankovita et al.	
		US- 5,598,352	01-28-1997	Rosenau et al.	
		US- 5,815,634	09-29-1998	Daum et al.	
		US- 6,363,213	03-26-2002	Sparks et al.	
		US- 4,118,669	10-03-1978	Fung	
		US- 4,660,073	04-21-1987	Baumeister	
		US- 4,821,122	04-11-1989	Teskey	
		US- 5,881,203	03-09-1999	Fujinami et al.	
		US- 5,841,987	11-24-1998	Blatter et al.	
		US- 5,937,136	08-10-1999	Sato	
		US- 5,974,221	10-26-1999	Kato et al.	
		US- 4,510,003	04/09/85	Oliver	
		US- 6,177,961 B1	01-23-2001	Blatter	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		EP 0 437 882 B1	04/26/95	Spiero		
		EP 0 607 904 B1	04/14/99	Suzuki		
		EP 0 558 120 B1	09/16/98	Gedi		
		JP 05-284581	10-29-1993	Matsushita Electric Ind. Co LTD		X
		JP 06-319110	11-15-1994	Hitachi LTD		X
		JP 07-079411	03-02-1995	Sony Corp.		X
		JP-OS 153432/1980	11/29/80	Kiyou		
		DE2918846 (english translation enclosed)	11/13/80	Mangold et al.		
		WO 92/22170	10/12/1992	Gallagher		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				Application Number	09/649,975
				Filing Date	8/29/2000
				First Named Inventor	Harold Blatter
				Art Unit	2615
				Examiner Name	H. Hguyen
				Attorney Docket Number	RCA 88,391A
				Sheet	2

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3 of 3

**Complete if Known**

Application Number	09/649,975
Filing Date	8/29/2000
First Named Inventor	Harold Blatter
Art Unit	2615
Examiner Name	H. Hguyen
Attorney Docket Number	RCA 88,391A

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Sony Digital Videocassette Recorder Operational Manual DVW-A500/500, DVW-A500P/500P	
		Sony Digital Videocassette Recorder Operational Manual DVW-A500, DVW-500	
		* Please note that the substance of the Japanese manual is disclosed in the English manual.	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.